

# Encounter Data System User Group

*November 29, 2012*

# Agenda

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- EDS Updates
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# Introduction

The purpose of this session is to provide Medicare Advantage Organizations (MAOs) and other entities with information on policy and operational guidance on testing and submitting production data to the Encounter Data System (EDS).

# MAOs and Other Entities

- CMS requires the following types of organizations to submit encounter data:
  - Medicare Advantage (MA) Plans
  - Medicare Advantage-Prescription Drug (MA-PD) Plans
  - Health Maintenance Organizations (HMOs)
  - Special Needs Plans (SNPs)
  - Local Preferred Provider Organizations (PPOs)
  - Regional PPOs
  - Employer Group Health Plans
  - Programs of All-Inclusive Care for the Elderly (PACE) Plans
  - Cost Plans (1876 Cost HMOs/CMPs and 1833 HCPPs)
  - Medical Savings Account (MSA)
  - Private Fee-for-Service (PFFS) Plans
  - Religious Fraternal Benefit Plans (RFBs)
  - Provider Sponsored Organizations (PSOs)

# Session Guidelines

- This is a one (1) hour Encounter Data User Group session.
- If time allows, the Encounter Data team will respond to questions.

# CMS Updates



# End-to-End Certification Status

- As of November 27, 2012, of the 219 total submitters, the following submitters have achieved end-to-end certification:

EDPS Submission Type	# of Submitters Certified
Professional	<b>202</b>
Institutional	<b>182</b>
DME	<b>164</b>
PACE	<b>2</b>

- CMS will send notices of noncompliance to those MAOs and other entities that have not completed end-to-end testing and certification.

# End-to-End Certification Status

(cont'd.)

- MAOs and other entities that require assistance in order to complete end-to-end certification should contact CSSC Operations at (877) 534-2772 or [csscoperations@palmettogba.com](mailto:csscoperations@palmettogba.com).



# EDS File Size Limitations

- MAOs and other entities received previous guidance to submit a maximum of 5,000 encounters per ST/SE.
- Due to Institutional CEM processing limitations, CMS has now implemented a requirement for encounter data submission to limit the total number of encounters in the ISA/IEA (*per file*) to a maximum of **5,000** for all connectivity methods.
  - This requirement applies to Institutional submission only.
- MAOs and other entities may submit multiple ST/SE transaction sets in a file as long as the 5,000 encounters per file limit is not exceeded.

# EDS Updates

# *EDFES*

## *TA1 and 999R*

### *Error Resolution*

# TA1 and 999R Error Resolution

- EDFES Acknowledgement Reports have indicated a high volume of TA1 and 999R errors generated on encounter data submissions.
- CMS has provided a list of the most frequently generated TA1 and 999R errors received by submitters and comprehensive editing logic to assist MAOs and other entities with edit resolution.

# TA1 Error Resolution

Segment	Element	Edit Logic
ISA/IEA		ISA must be formatted correctly. Length must equal 106 bytes. Each field must contain correct number of bytes.
	ISA06	Value must be a valid Submitter ID
	ISA08	Value must be a valid Payer ID (80881, 80882, or 80887)
	ISA13	Value must match IEA02 value
GS/GE		Count of ST/SE segments within GS/GE must be correct
	GS06	Value must match GE02 value
ST/SE		Number of segments between ST/SE must be correct
	ST02	Value must match SE02 value

# 999R Error Resolution – Professional

Segment	Element	Edit Logic
<b>SVD</b>		<b>Population of SVD segment is required</b> <i>(with the exception of True COB encounters)</i>
	SVD01	Loop 2430 SVD01 must match Loop 2330 NM109
<b>NM1</b>		
	2310A NM1 (Referring Provider)	Must be populated when Loop 2310A REF segment is used
	2330D NM1 (Other Payer Rendering)	Must be populated when Loop 2330D REF is used
<b>AMT</b>		
	2320AMT01	Must be populated when Loop 2430 is used

# 999

## Reports Comprehension

### Reading a 999R Acknowledgement Report

Segment	Description
<b>AK2</b>	Identifies the start of a transaction set <ul style="list-style-type: none"><li>Any errors within the transaction will come after the AK2 transaction set identifier</li></ul>
<b>IK3</b>	Identifies a segment error
<b>IK4</b>	Identifies a data element or composite error
<b>CTX</b>	Identifies the cause of the error
<b>IK5</b>	Identifies whether the transaction was accepted or rejected

## Reports Comprehension (cont'd.)

### Reading a 999R Acknowledgement Report

AK2*837*000000133*005010Y	
IK3*SBR*689*2430*7~	IK3 segment error in Loop 2430 SBR at the 689 <sup>th</sup> position after "ST" segment
CTX*CLM01:2012020399900522TC11~	
IK3*AMT*698*2320*8~	IK3 data element error in Loop 2320 AMT at the 698 <sup>th</sup> position after "ST" segment
CTX*CLM01:2012020399900522TC11~	
IK4*2**7*000000000021~	
IK3*SBR*735*2430*7~	
CTX*CLM01:2012030799900224TC11~	
IK3*AMT*744*2320*8~	
CTX*CLM01:2012030799900224TC11~	
IK4*2**7*000000000015~	IK402=7 (invalid code value) 2320.AMT01 must be "D"
IK5*R*15~ <i>Rejected Transaction</i>	

Encounter Number affected by error(s)





# *EDFES*

## *Balancing Edits*

# EDFES Balancing Edits

- Due to the occurrence of frequent balancing edits during the validation of encounter header and service lines submission, CMS temporarily deactivated all Institutional CEM balancing edits.
- Effective mid-December 2012, CMS will permanently deactivate all CEM balancing edits for Professional, Institutional, and DME encounter data submissions.
- This change will assist MAOs and other entities with the balancing issues resulting from the extraction of Medicaid services from encounter submissions.

# *EDPS Known Issues and Incident Tracking*

# EDPS Known Issues

Error Code	Error Code Description	Target Resolution Date
02255	Beneficiary Not Part A Eligible for Date of Service	12/21/2012
03102	Provider Type or Specialty Not Allowed to Bill Procedure <i>(Interim Resolution – Edit currently reflects an “informational” status. Final resolution will cause Edit 03102 to reflect a “reject” status.)</i>	Final Resolution TBD
32035	Place of service is 11 or 23 for DME Encounter	TBD

# EDPS Incident Tracking

**As of November 27, 2012, 401 incidents were received.**

# of Resolved Incidents	395
# of Pending Incidents	6
<b><i>Related to Known Issues</i></b> <ul style="list-style-type: none"><li>• Requires system enhancements</li></ul>	5
<b><i>Not Related to Known Issues</i></b> <ul style="list-style-type: none"><li>• Submitter-related Issues</li><li>• Undetermined system Issues</li></ul>	1

25 Incidents were resolved with the implementation of the November 23, 2012 EDPS enhancements.

**Reminder:** Effective November 16, 2012, Incidents should be submitted directly to CSSC Operations.

# *SNF/HH Pilot Testing Update*

# SNF/HH Pilot Testing Update

- CMS conducted a pilot test for SNF/HH encounter data submission to validate the processing and editing logic in the EDS.
- Institutional files were collected from November 9<sup>th</sup> to November 23<sup>rd</sup>.
- The encounters submitted in these files passed CEM level editing and were sent to the EDPS for processing and analysis.
- CMS will provide updates on the pilot test results to MAOs and other entities prior to implementation of SNF/HH production data submission.

# *Medicaid Encounter Data Update*



# Medicaid Encounter Data

- MAOs and other entities that are interested in participating in Med-Med Demonstration initiatives should contact the appropriate State Representative.
  - Less Than 65 Full Dual Demonstration Project
  - Financial Alignment Demonstration Initiative
- MAOs and other entities that are ***currently enrolled to participate*** in these Demonstrations and have questions regarding submission of Med-Med data to EDS and/or RAPS should submit those questions to your designated Demonstration representative.

# EDS Medicaid Guidance

- During the initial EDS development, and prior to January 2012 implementation, CMS informed MAOs and other entities that further investigation was required for appropriate submission of Medicaid services.
  - MAOs and other entities were instructed to submit only those SNP encounters that were not 100% paid by Medicaid.
- After January 2012 implementation, MAOs and other entities were instructed NOT to submit any Medicaid services to EDS.

# EDS Medicaid Guidance (cont'd.)

- CMS provided MAOs and other entities with various resources and documentation to assist with the appropriate extraction of Medicaid service lines from encounter data submission.
  - User Group Slides and Q & A documents
  - Monthly EDS Companion Guides
  - 2012 EDS Regional Training Participant Guide and Presentation Slides
  - EDS Inbox Responses ([eds@ardx.net](mailto:eds@ardx.net))

# *EDS Companion Guides Updates*

# EDS Companion Guides

## Reminder:

- Effective January 2013, CMS will begin releasing the EDS Professional, Institutional, and DME Companion Guides on a quarterly schedule.

January 2013
April 2013
July 2013
October 2013

# EDS Companion Guides (cont'd.)

## November Release Update:

- EDPS Edits Prevention and Resolution Strategies – Phase II

## December Release Updates:

- EDPS Error Code Descriptions
  - Error Code descriptions will be limited to a maximum of 41 characters in order to display a more comprehensive explanation of edits on the MAO-002 Reports.
  - CMS will release the updated descriptions prior to implementation to allow adequate time for MAOs and other entities to program their internal systems.
- EDPS Edits Prevention and Resolution Strategies – Phase III

# ***EDS Implementation Next Steps***

# EDS Implementation Next Steps

ED Materials / Information	Target Date
2011 DOS Submission	November 26, 2012
Review and Evaluation of Risk Filtering Logic and MAO-004 Encounter Data Risk Filter Report	November 30, 2012
Phase II – EDPS Edits Prevention/Resolution Strategies	November 27, 2012
SNF/Home Health Submission – Production	December 7, 2012
Deactivation of CEM Balancing Edits	December 20, 2012
EDPS Error Code Descriptions Update	December 30, 2012



# Questions & Answers

# Resources

# Resources

- CSSC Operations: [www.csscooperations.com](http://www.csscooperations.com)
- Technical Assistance Registration Service Center (TARSC): [www.tarsc.info](http://www.tarsc.info)
- CMS: [www.cms.gov](http://www.cms.gov)
- EDS Inbox: [eds@ardx.net](mailto:eds@ardx.net)

# Resources (cont'd.)

- X12 Version 5010 Standards:  
<https://www.cms.gov/Regulations-and-Guidance/HIPAA-Administrative-Simplification/Versions5010andD0/index.html?redirect=/Versions5010andD0/>
- CEM/CEDI Technical Reporting Formats:  
[http://www.cms.gov/MFFS5010D0/20\\_TechnicalDocumentation.asp](http://www.cms.gov/MFFS5010D0/20_TechnicalDocumentation.asp)
- Washington Publishing Company:  
<http://www.wpc-edi.com/content/view/817/1>

# Closing Remarks